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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

07/13/01 JC930 U.S. PTO

App. Dkt. No: 5181-41201

Inventor(s):
Jeremy B. Rolls, Michael J. Bushue,
Rhod J. Jones and Stepan Tatulian

Serial No.: unknown

Filed: July 12, 2001

Title FAN CONTROL MODULE
FOR A SYSTEM UNIT

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CERTIFICATE OF EXPRESS MAIL
UNDER 37 C.F.R. § 1.10

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Derrick Brown

1002 U.S. PTO
10/032808
07/13/01

UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

2 / Prel. amdt.
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e. Villio
5-20-02

Continuing Application: <input checked="" type="checkbox"/> Continuation		of prior application No.: 09/415,426
Inventor(s) Jeremy B. Rolls, Michael J. Bushue, Rhod J. Jones and Stepan Tatulian	Filed: October 8, 1999	
Title: FAN CONTROL MODULE FOR A SYSTEM UNIT	Examiner: F. Fleming Group/Art Unit: 2836 Assignee: Sun Microsystems, Inc. Recorded at Reel 10724, Frame 0598	
Power of Attorney in Prior Application: B. Noel Kivlin Conley, Rose, & Tayon, P.C. P.O. Box 398 Austin, Texas 78767-0398		

Application Elements

1. ☒ Filing Fee
The filing fee is calculated as shown below.

Total Claims	25	-20=	5	x \$18.00=	\$90.00
Independent Claims	3	-3 =	0	x \$84.00=	
Multiple Dependent Claims				Fee:	
				Basic Fee:	\$740.00
				Petition Fee:	\$130.00
				Total:	\$960.00

- ☒ The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5181-41201/BNK.
2. ☒ Specification
24 page(s) of specification; 5 page(s) of claims, 1 page(s) of abstract
3. ☒ Drawings
Informal Figure(s) 1-14 on 17 sheet(s)
Formal Figure(s) 1-14 on 17 sheet(s)

4. ☒ Oath or Declaration

- ☐ Newly executed
☒ Copy from a prior application (see 37 C.F.R. § 1.63(d))
 Deletion of Inventor(s) (in continuation or divisional applications):
☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☒ The entire disclosure of the prior application(s) referred to above is/are considered to be part of the accompanying application and is hereby incorporated by reference herein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- ☐ Computer Readable copy
☐ Paper Copy (identical to computer copy)
☐ Statement verifying identity of above copies

- ## 8. ☐ Assignment Papers

9. ☐ Notice of Recordation of Assignment from parent application evidencing assignment

- ## 10. Power of Attorney

- ☒ Is attached.
- ☐ The power of attorney appears in the original papers of the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☐ Information Disclosure Statement (IDS)

- ☐
- Copies of IDS Citations

- ## 12. Amendments

- ☒ A preliminary amendment is enclosed.
☐ Cancel in this application claim(s) _____ before calculating the filing fee. At least one independent claim is retained for filing purposes.

☒ Amend the specification by inserting before the first line the sentence: This application is a continuation application of U.S. Application Serial No. 09/415,426, filed October 8, 1999, now U.S. Patent No. 6,268,664.

- 13.
- ☒
- Return Receipt Postcard

- #### 14. Small Entity Status

- ☐ A small entity statement is enclosed.
- ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- ☐ Is no longer claimed.

15. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. §§ 119(a)-(d)

16. ☐ Petition under 37 C.F.R. § 136 for Extension of Time

17. ☒ Other: Petition Under 37 CFR §1.182 to Convert 37 CFR 1.53(d) Request for Continued Prosecution Application to a 37 CFR 1.53(b) Continuing Application

18. ☒ Other: Copy of Decision on Petition

Address all future correspondence to:

B. Noël Kivlin
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature

Name _____

Registration No. 33,929

Date

B. Noël Kivlin

10-19-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No: 5181-41201

Inventor(s):

Jeremy B. Rolls, Michael J. Bushue,
Rhod J. Jones and Stepan Tatulian

Title: FAN CONTROL MODULE
FOR A SYSTEM UNIT

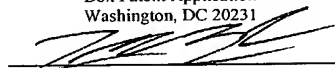
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Derrick Brown

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon,
P.C. Deposit Account Number 501505/5181-41201/BNK:

Total Claims	25	-20=	5	x \$18.00=	\$90.00
Basic Fee:					\$740.00
Petition Fee:					\$130.00
Total:					\$960.00

Attorney Docket No.: 5181-41201

The Commissioner is also authorized to charge any extension fee or other fees which may be
necessary to the same account number. If the abovementioned account is found to have insufficient funds,
the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number
501623/5181-41201/BNK.


Signature

Name

Registration No.

Date

33,929


B. Noël Kivlin

10-19-01